This guidebook is designed as a reference for SBMC members to consult as they take on their new roles and responsibilities.

School-Based Management Committee Guidebook
Every child has the right to quality education. Schools can be improved through greater community involvement, which can help to make them more accountable for the services they provide.
In Nigeria, School-Based Management Committees (SBMC) are established by government to act as a bridge between schools and the communities they serve.
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Preface

This SBMC guidebook has been developed by Lagos State Ministry of Education and its parastatals, with support from the DFID Education Sector Support Programme in Nigeria (ESSPIN). It has been produced in response to the State Government’s desire to increase community participation in education, through the adaptation of the national policy on School-Based Management Committees (SBMCs) to local needs.

The state policy, on which this guidebook is based, was agreed through consultation with a wide range of stakeholders at state, local government area and community levels in 2009 and 2010.

This guidebook is designed as a reference for SBMC members to consult as they carry out their roles and responsibilities.

The guidebook contains a summary of the Lagos State SBMC policy. It also clearly defines SBMC roles and responsibilities, provides guidance for SBMCs on school development planning, monitoring school activities, managing money and involving the community in issues of school governance and accountability.

Acknowledgements

Many different stakeholders contributed to the compilation of this guidebook during a structured SBMC visioning process, facilitated by ESSPIN. Much of the work was contributed by the members of the State SBMC Task Team.

Special thanks go to Ms Ronke Azeez, Special Adviser to the Deputy Governor and Mrs Abisola Olatunji, Senior Special Assistant to the Governor on Basic Education.

Thanks also go to Alhaja Gbolahan Daodu, the Chair of State Universal Basic Education Board (SUBEB) Lagos State and their management staff for their time, valuable inputs and participation during the visioning processes.

Thanks also go to the UK Department for International Development (DFID) and to the DFID-funded ESSPIN programme, whose staff and consultants provided inputs, focus, guidance and constructive criticism throughout.
Introduction

Welcome to the first guide for School-Based Management Committee (SBMC). This guide will tell you everything you need to know to get started as a School-Based Management Committee member.

This guide was produced based on ideas contained in the new State Policy on SBMCs, which were agreed to by consultation with stakeholders at local and state levels in 2009 and 2010.

What is a School-Based Management Committee (SBMC)?

School-Based Management Committees (SBMCs) are an essential link between schools and the communities they serve. SBMCs are made up of a range of local people involved with their school. SBMCs work to increase communities’ involvement with education, and to help improve the quality and effectiveness of schools. They provide a way of helping the education authorities to listen to what adults and children want from schools, and a way of increasing the contributions of everyone in the local area to making education work well.

Why this guide?

The SBMC guide is designed to enable SBMC members to learn ‘How to’ run a school-based management organisation. It is based on the state policy on SBMC designed to meet the priorities of parents, children, communities, schools, local authorities and the state government for improving and expanding good quality basic education.

Who is this guide for?

This guide is for all members of SBMCs in your State, and for anyone who is interested in how SBMCs in Nigeria can work. It should be made available to all SBMCs and to anyone who may join an SBMC.

What does the guide contain?

This guide contains six Sections to introduce SBMC members to their main roles and responsibilities, and provides examples of what SBMCs can achieve.

Section One gives a general idea of what SBMCs are and what they are expected to do.

Section Two explains what the state policy on SBMCs says, and how this should work in practice.

Section Three contains instructions and guidance on how to conduct regular SBMC tasks like running meetings, and holding regular consultations with the wider community.

Section Four explains how to develop School Development Plans.

Section Five looks at how an SBMC should manage money to improve education.

Section Six explains how SBMCs can monitor and improve aspects of education.
How is this guide to be used?

The Guide is intended to be the main tool for SBMC operations. In order to make effective use of this guide, all SBMCs should receive an initial basic training to be conducted by persons from Social Mobilisation Department of SUBEB and Civil Society Organisations (CSOs) who are referred to as Master Trainers.

The initial training which will enable the SBMCs to get started, will be followed by further support to cope with their long-term responsibilities. The state government may then develop additional materials in accordance with the state policy on SBMCs. The guide may be translated into a local language but where SBMC members are not literate even in the local language, other SBMC members should make sure that they read and explain the contents to them.

Right

The SBMC can plan to ensure conducive, quality learning environments for all children.
What is an SBMC, and what does it do?

In Nigeria, School-Based Management Committees (SBMC) are established by government to act as a bridge between schools and the communities they serve. SBMCs are intended to contribute to school development planning and decision-making at the school level for improved learning outcomes.

SBMCs are voluntary groups made up of people who represent the school community and may include pupils, teachers, parents, community leaders as well as other community-based groups interested in education.

SBMCs meet regularly and organise activities to improve the way schools operate and support the government’s responsibility of ensuring quality education for all.

SBMCs are usually involved in:

- contributing to the overall planning, management and monitoring of schools and to the decision-making process.
- encouraging the interest of both community and government to support school improvement.
- enabling the wider community to have a voice in the improvement of education.
- monitoring, and communicating issues to the community and to local/state governments.
SBMCs connect the school, community and government

School
The school is linked to the community and the government through the SBMC.

Community
Community concerns about education are channelled to the school and government through the SBMC and are the feedback and response.

Government
The government is responsible for education service delivery. Through the SBMC, the government listens and responds to the community’s demands.

SBMCs are not committees set up to merely discuss problems, but community bodies which can take action to make their schools work better.
State Policy on SBMCs

The overall purpose of the Lagos State Policy is to achieve effective community participation in the management and governance of primary, junior and senior secondary schools in Lagos State towards attaining the goal of free and qualitative education.

In Lagos State, the School Based Management (SBM) model is structured around the clustering of schools. Eight to ten schools located within the same vicinity constitute a central SBM model. Each school in the cluster has its own mini SBMC termed Project Implementation Committee (PIC).

The state policy expects that the PICs and SBMCs play a big part in school governance: monitoring, advising and organising activities to improve education, on behalf of the community.

Key roles and responsibilities of SBMCs in Lagos State

- Participation in the attainment of good enrolment, attendance and retention in schools
- Creation of mass public awareness on the importance of education
- Identifying school needs and challenges and acting to solve these.
- Presenting the problems and needs of the school to the local authorities
- Mobilising the community to protect the school infrastructure
- Participate in School Development Planning
- Supporting and promoting good behaviour in schools

What is the state policy purpose?

What are the key roles and responsibilities of PIC and SBMC members?

How does the PIC and SBMC membership work?

How can the PICs and SBMCs strengthen partnership and participation?

What can PICs and SBMCs Achieve?
How does PIC/SBMC membership Work?

The Head Teacher and the local education authorities are responsible for the day-to-day management of the school, but the local PIC and cluster based SBMC can advise Head teachers and education authorities of ways in which schools could be better run for the whole community.

The Lagos State Policy requests that each PIC have 10 members from the following backgrounds in the community which the school serves, as shown on the next page.

Central SBMC membership

The Central SBMC shall have a Chair, Vice-Chair, Secretary, Financial Secretary and a Treasurer who shall be elected at the first meet to be conveyed by the Representative of SUBEB or District. The Secretary of the Central SBMC shall be the Headteacher or Principal as the case may be (Primary/JSS or SSS Central SBMC).

Central SBMC Membership:
The Central SBMC shall be composed of at least, one member from each school’s PIC. The Conference of Headteacher at Primary/JSS or Principals of SSS shall convene to agree on which interest group will be nominated to the Central SBMC prior to the first meeting of the Central SBMC to be convened.
PIC/SBMC membership

The PIC in each school shall contribute a member each to the central SBMC. Potential PIC and SBMC members should be:

- resident in the community where the school is located
- a person of integrity and honesty
- interested in ensuring the delivery of quality education
- ready to serve the school and community voluntarily

The team should reflect the wider community, including women, children and other groups.

PICs may be started off by the local government education authority (LGEA), in collaboration with local leaders in the community. At first, the LGEA and local leaders may recommend a list of members who are from the backgrounds described opposite.

PIC/SBMC members will select an executive from amongst its members including:

- Chairman
- Secretary
- Treasurer
- Financial Secretary

PIC/SBMC members will:

- Serve for a period of 3 years, renewable based on satisfactory performance (two terms shall be the maximum period for any SBM Executive)
- Meet twice per school term
- Call emergency meetings if important decisions need to be made between scheduled meetings
- Consult regularly with the communities and the local education authority

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Right
PIC and SBMC membership composition.

<table>
<thead>
<tr>
<th>SBMC Interest Group</th>
<th>Number</th>
</tr>
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<tbody>
<tr>
<td>Head Teacher/Principal</td>
<td>1</td>
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<tr>
<td>Teachers’ Representative</td>
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</tr>
<tr>
<td>Head boy/Head girl</td>
<td>2</td>
</tr>
<tr>
<td>Community leader</td>
<td>1</td>
</tr>
<tr>
<td>Women’s Representative</td>
<td>1</td>
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<tr>
<td>Representative of the Community Development Association</td>
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<tr>
<td>Representative of CBOs</td>
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<td>Representative of NGOs</td>
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<tr>
<td>Old students’ Representative</td>
<td>1</td>
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<tr>
<td>Representative of the Students/Pupils</td>
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<tr>
<td>Representative of the Community Development Association</td>
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<td>A Representative of Artisans</td>
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<tr>
<td>Representative of NGOs</td>
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<tr>
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<table>
<thead>
<tr>
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<th>Number</th>
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<tbody>
<tr>
<td>Head Teacher/Principal</td>
<td>1</td>
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<tr>
<td>Vice Principal (Admin)/Assistant Head Teacher</td>
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</tr>
<tr>
<td>Community Leader</td>
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<tr>
<td>Representative of Women Group</td>
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<tr>
<td>Representative of the Parent Forum</td>
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<tr>
<td>Old students’ Representative</td>
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<td>Representative of the Teachers</td>
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<tr>
<td>Total</td>
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</table>
How can the PIC/SBMC promote participation and inclusion?

The Lagos State SBMC Policy states that for schools to be effective, strong partnership must exist between the Head Teacher, the teachers, pupils, parents, SBMC members and members of the wider community. It also highlights that the way in which SBMCs are selected and organised can contribute to building partnership, increasing voice and demand for better education delivery through active participation.

Below and right
Building partnerships between schools and local artisans can contribute to school improvement.

Partnership
SBMCs are expected to exist in harmony with other similar bodies which have an interest in educational development. The values of trust, mutual respect and shared understanding will also be very important in working alongside the school Head Teacher, the PTA, the LGEA, SUBEB and the SMoE.

Below
Giving space for children to play aids effective learning and good performance.

SBMCs will need to develop ways for working in consultation with wider groups of partners. Strong partnerships between SBMCs of neighbouring communities are also important. This kind of partnership and collaboration can be developed for example through:

- Visits to SBMCs which are already functional to learn from their experience
- Joint visits/meetings with education service providers to discuss issues
- Establishing partnerships with local role models – artisans, professionals, business people, local charities, co-operatives or CBOs
- Formal contacts with interested organisations, groups or individuals including the media
- Linking women’s committees to each other and reaching other marginalised groups
Participation and inclusion

The Lagos State Policy is clear on including members of diverse background participating actively in the decision making process for their schools and communities.

The following are some strategies for ensuring that these groups can participate in the decision-making processes of SBMCs:

1 Including all groups
The SBMC actively include all groups by:

- Ensuring their involvement in all SBMC activities
- Encouraging parents and husbands to allow women and children to be fully involved in the SBMC
- Sharing information with SBMC members on the importance and benefits of including women’s and children’s voices in SBMC processes
- Enabling women’s and children’s voices to be heard through the formation of committees which feed into SBMC meetings. It is also important to hear the voices of children who are out of school
- Training SBMC members in child awareness, child protection and the benefits of children’s participation in the SBMC.

2 Raising awareness
The SBMC can actively increase participation by:

- Involving and gaining the support of local, religious and traditional leaders in raising awareness about education; organising enrolment campaigns
- The use of community drama, and speaking widely about the responsibility of community and government to make sure that all children enroll in and complete primary school, make the transition to junior secondary and access quality education
- Viewing female SBMC members as role models, particularly for other women and for girls in communities. They can visit other women in their homes to promote education and encourage them to send boys and girls to school.

Above
Children can also participate in school development planning and monitoring.

Below
Decisions made by SBMCs can only be effective if they consider the views of both boys and girls.
Useful messages that the SBMC can promote

a. All children have the right to education as specified in the constitution.

b. The government has the responsibility to ensure that all children receive a good quality education regardless of their family’s ability to pay.

c. All children, including boys, girls, children with disabilities, children who do not speak the main language, and children without parents, should go to school.

d. Girls who are married have exactly the same right to go to school as other children.

e. A good education should help your child to read and write, become a responsible citizen and help you and your family live a healthy life.

f. It is possible to have both Western and Islamic education.

g. The community can ask for improved quality of education service delivery from those responsible.

All children have the right to education and it is the government’s responsibility to provide a quality learning environment.
What can SBMCs achieve?

Experience around the world shows that schools work best when they are based on partnerships between everyone involved in education. There are often many difficulties preventing children from getting to school or from learning well. The following examples show how SBMCs can make a big difference to education by bringing people together to overcome these difficulties.

**Working with others to raise awareness of why all children should go to school**

In one LGA the girls do not access education due to cultural and religious beliefs and practices. A visit was made by SBMC members with LGEA staff to the overall traditional leader, the Emir, with all the subjects from the various districts in attendance. The benefits of girls’ education were explained and an appeal was made to encourage girls’ education.

The Emir gave the order to his subjects to go back to their districts and ensure compliance with making girls’ education happen.

**Negotiating ways of overcoming practical barriers**

Most girls in a village in one LGA did not go to school because their families needed them to spend the early mornings hawking as well as attending market days. This clashed with school times. The SBMC raised with community elders this concern as related to the development of their wards, and asked them to talk about it and come up with action points. They did this, and the elders resolved that children should not be seen hawking during school hours. This was implemented and it meant that many children, especially girls, came back to school.

**Organising the community to raise resources for education**

In another village, the only primary school within the community was collapsing due to low enrolment and attendance by children who are involved in farming and income generating activities. With the establishment of a SBMC and their activities, things began to improve.

A women’s co-operative society was organised through the SBMC and a small groundnut oil processing mill established. The profit was used to assist their children and support those who could not afford to purchase school materials or uniforms. This led to increased enrolment and attendance in the school as well as unity, growth and development within the community.
How do PICs/SBMCs communicate and report?

Communication is a large part of what PICs and SBMCs do as part of their involvement in activities to improve the education of children. The PIC’s main job according to the state policy is finding out which problems with education are affecting people in the community, and bringing those problems to those who can help solve them.

Ensuring accountability

Keeping clear records of activities, finances and decisions made, and sharing these records, will show that the SBMC is being accountable to the community and the school it is serving, as well as to the relevant government bodies. This will ensure:

- Increased teacher and pupil attendance
- Strong partnership amongst all groups
- Active participation in decisions affecting the school
- Improved learning outcomes of pupils in schools

Whilst the SBMC is accountable to the State Ministry of Education, Science and Technology, SUBEB and the LGEAs, these bodies are also expected to monitor and guide the SBMCs to enable them to perform effectively and efficiently.

SBMCs should provide feedback to their wider communities once a term, and submit an annual report (progress and financial) to SMoE, SUBEB, the LGEAs, schools and communities.

The SBMCs will need to keep accurate records of meetings with different groups and report on their activities to Head Teachers and school support officers. This will enable monitoring of how well the SBMC is working.

Communicating with other organisations

The SBMC should meet regularly with other local education-focused organisations. Through working together, positive change can be achieved.

Collaboration with the following stakeholders should take place:

- PTA Parent Teacher Association
- NUT Nigeria Union of Teachers
- OPA Old Pupils’ Association
- ANCOPSS All Nigerian Conference of Principals of Secondary Schools
- CSACEFA Civil Society Action Coalition on Education for All
- MDA Ministries, Departments and Agencies
All images
Parents, communities and government have the responsibility of sending out of school children back to school.

Right
This diagram shows the links, direct and less direct, between the Central SBMC cluster, PICs cluster of schools, community and government bodies.

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Direct lines of communication

Indirect lines of communication

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Parents, communities and government have the responsibility of sending out of school children back to school.
Section 3
Conducting SBMC meetings

How can SBMCs best prepare for meetings?

How are SBMC meetings conducted?

How will SBMC training be organised?

How will SBMCs give feedback to the community?

Being prepared for SBMC meetings

It is important that the Chair of the meeting, in consultation with the Head Teacher, informs communities and SBMC members where and when SBMC meetings are to be held and what will be discussed at the meeting. Having information and an agenda beforehand means that people who will feed into or attend the meeting can be well prepared and that maximum progress can be made.

Ways of ensuring that people know about meetings could include:

- Use of the town-crier
- Passing on information where people congregate such as mosques and churches
- Letters of invitation from the SBMC to parents
- School assemblies
- Requesting the PTAs to pass information
- Use of notice-boards placed strategically around the community
Holding the meeting

Meetings should start and aim to close on time as planned and notes should be taken by the secretary as a record of the meeting – these notes are known as the minutes of the meeting.

The Chair must be participatory in managing the meeting and ensure that everyone has the chance to speak if they want to and that everyone is listened to and respected. No person or groups should be allowed to dominate the meeting.

Decision-making in the meeting should be a consultative process where people discuss and negotiate, and if necessary vote to reach consensus.

The recommended format for an SBMC meeting is as follows:

- **Observe opening and closing prayers**
- **Highlight the meeting agenda and objectives**
- **Agree ground rules for the meeting so that it can proceed respectfully and efficiently**
- **Review the previous meeting by reading through the minutes of the last meeting**
- **Review progress on action plans made in the previous meeting – identify issues not addressed, agree on what to do about them and write an action plan**
- **Highlight any issues raised since the last meeting and follow-up to these**
- **Report from the children’s group. This could be focused on one particular issue at each meeting and supported by women SBMC members to build children’s confidence**
- **Any other business or issues that members would like to raise**
- **Arrange date and time of next meeting.**
Training for SBMC members

Each SBMC should receive initial training from government or partners soon after it is set up. Ideally all SBMC members should receive training. However, if it is not possible to train everyone, at least eight SBMC members will be selected for training. Those chosen for training will be a diverse group who are able to take a lead role in SBMC activities, and share the training with the other members.

The following is suggested:

- Traditional leader
- At least one youth representative (ideally between the ages of 15–20)
- Teacher
- PTA representative
- SBMC Chair
- Other community member
- Head Teacher
- Community Development Association representative

At least two of the members listed above should be women to ensure wider representation. It will also encourage the recognition of women’s voices as important to the success of the SBMC. The LGEA Desk Officer also has a key role to play in supporting the training and follow-up.

Motivating SBMC members

Whilst the SBMCs will run on a voluntary basis, ways of acknowledging their work, motivating them to continue can be considered by communities, LGEAs and government. Acknowledgement of time given by SBMCs to their work can encourage and motivate them. Ways of showing appreciation in-kind can be developed as appropriate at community level.

Organising community feedback meetings

It is important for the SBMC to have regular review meetings with the whole community to update on progress and raise new issues and ideas. Including the community in planning, review and monitoring will strengthen their voice on education issues and promote community ownership of the school.

The Head Teacher, in consultation with the SBMC Chair should lead the meeting, supported by the SBMC executive. Presentation of the school report at meetings will enable community members, including women and children, to express whether they are satisfied or not with school learning outcomes. Amended information should be recorded for the next meeting (see Section 6 for more on community monitoring).
Why do we need a School Development Plan?
It is widely understood by community members, parents, teachers and pupils that schools need to improve. The School Development Plan (SDP) provides a roadmap to guide schools, and SBMCs have an important role to play in helping develop, implement and evaluate the plan.

What is a School Development Plan?
School development plans help schools to get from where they are now to where they want to be in the future. They are plans to make schools better places for children to learn. A plan is based on a sound understanding of where the school is now – its strengths and its weaknesses.

It brings together the views of a wide range of people and groups with an interest in education (stakeholders) on how the school should and can improve, establishing common, agreed goals for the school. It then organises everyone’s efforts towards meeting these goals. Finally it sets a framework for monitoring progress and evaluating the impact of everyone’s efforts.

School development planning is made up of a series of simple steps, as shown in this section.

Section 4
School development planning

This section provides a brief guide to school development planning.
It answers the following questions:

Why do we need a School Development Plan and what is it for?

What are the steps of school development planning?

What responsibilities do the SBMCs and the Head Teacher have?

What knowledge and skills do SBMC members need for planning?
Who will lead school development planning?

The process is led by the Head Teacher, in close co-operation with the SBMC (of which he or she is a member). The SBMC has a clear role at every step, and this is described in detail in this chapter.

Who is involved in school development planning?

Successful schools need the involvement of a range of stakeholders in education:
- teachers
- the pupils
- the community served by the school
- the parents.

In practice though, some are more likely to be involved in SDP than others. So, although schools have many individuals with an interest in the school’s performance, it is best to concentrate on those with a very direct interest and who know it relatively well.

The school exists to educate the children of the community and it cannot do so without their support. School development planning is a way for the community to clarify what it expects from the school. In turn the school can gain strength from the support of an involved community, so that together they are able to tackle the many problems facing schools in Nigeria.
Who should start the SDP process?

The school development planning process should begin with an initial meeting between the Head Teacher and the SBMC to share information about the SDP. The local school supervisor should also attend in order to offer guidance throughout the whole process. Initially the Civil society organisations and Government Partners (CGP) can also contribute. This initial meeting should highlight that the SDP exists to improve students’ achievement. At this meeting the SBMC and the Head Teacher should develop a simple plan to involve and inform the main stakeholders, including women as well as men, and find ways of including others.

Step 1: Agreeing our goal

Setting the improvement agenda

Before starting school development planning all those with an interest in the school’s performance need to be informed about its purpose and structure, their role in it and why it is necessary.

Vision

People often talk about ‘educating the next generation’ or ‘assisting children to learn’.

This dream is usually expressed as the ‘vision’ or ‘long-term goal’ for the school.

It should focus on what the students should achieve whilst they are at school.

School development planning, mobilising and focusing everyone’s efforts, should enable schools to fulfill their responsibilities towards their students.

Below

A common vision for schools is developed through school development planning.
The SBMC's responsibilities in the initial meeting:
The Head Teacher, with the agreement and support of the SBMC, is responsible for leading this first part of the process. However the SBMC has several important responsibilities:

- To ensure that these initial preparatory activities are conducted in a timely manner
- For making sure as many of the key stakeholders as possible are involved and understand the purpose of SDP and what their role in it will be
- For sharing information about any agreements reached with the wider community
- They are also responsible for ensuring that the LGEA and important traditional/religious leaders are aware the school has started on the SDP process.

Knowledge and skills required:
The SBMC will need to understand the purpose and structure of an SDP, how the process can improve pupil achievement in schools and why it is necessary to do so.

The SBMC will need to know how to conduct meetings with key stakeholders together with a range of ways of communicating information to the wider community (See Section 3 on meetings/communications). The SBMC will need to understand how to encourage the participation of women and other potentially marginalised groups.
**Step 2:**
Where are we now?

**Analysing the school’s current situation**
The next step is for the main stakeholders to agree what the school’s needs are. To do this they need to understand the school's strengths and weaknesses. This information is provided by the school self-evaluation, which presents a picture of where the school is on a few key issues.

**What is the school self-evaluation process?**
It is a new requirement for schools which forms part of the system of inspection for Nigerian schools. It has two benefits for schools (and the communities they serve):

- It gives them a voice in the inspection process
- It provides information based on which they can build their plans for development and improvement

The self-evaluation process requires the school to look at its resources, how these are used and how they impact on students. What are they achieving as a result?

It asks the key question ‘Where are we now’?

The process of self-review is an on-going one, but once a year it is put together into a simple report by the Head Teacher who is responsible for leading the process with teachers, pupils and parents.

The Head Teacher should involve the SBMC as a partner in these consultations.

**Left**
The evaluation process will highlight issues related to the quality of the learning environment.
The SBMC's responsibilities in the self-evaluation process are to:

Understand the self-evaluation process and contribute to the self-evaluation themselves.

Ensure that the Head Teacher carries out the process in a timely manner, involving everyone.

Agree that the annual self-evaluation report, ensuring that the main stakeholders' views are accurately reflected.

Make sure that the information in the report is shared widely in summary.

Knowledge and skills required:

- The SBMC will need to know the main outline of the school self-evaluation process.
- They need to understand their role (and that of other stakeholders) in it and how it can contribute to school improvement and development.
- They will need to know how they can themselves contribute to the school self-evaluation.
- They will need to be able to prepare and present a summary of information gained from the self-evaluation to stakeholders.

Factors influencing pupil achievement in our school:

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<thead>
<tr>
<th></th>
<th>Strengths</th>
<th>Weaknesses</th>
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</thead>
<tbody>
<tr>
<td>What the school records tell us</td>
<td></td>
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<tr>
<td>What the pupils say</td>
<td></td>
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<tr>
<td>What the parents say</td>
<td></td>
<td></td>
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<tr>
<td>What the teachers say</td>
<td></td>
<td></td>
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</tbody>
</table>

Above
The SBMCs can use this template for summarising school strengths and weaknesses which have been identified through different sources.
Step 3: Prioritising needs

Deciding what to tackle first

Once the school has an idea of its strengths and weaknesses it can begin to decide on its priorities for improvement and development.

All needs cannot be addressed at the same time and some will take longer to deal with than others. Some weaknesses, such as poor lesson planning, are beyond the scope of an SBMC to deal with.

The SBMC, including the Head Teacher, will have to, with the agreement of the wider stakeholders, draw up a list of priorities with a focus on what is really achievable.

Experience shows that this part of the process often produces a ‘shopping’ list of the physical needs of the school or an ad hoc list of activities.

Whilst it is very hard to teach well in a poor school environment, improving infrastructure alone will not necessarily bring about improvements in achievement.

It is important to keep a focus on the impact of what the school does. To help do this, when completing this step the SBMC needs to think in a systematic way about improving:

- levels of pupil enrollment and attendance
- the quality of lessons
- communications between school and community
- pupil welfare

The SBMC’s (including the Head Teacher’s) responsibilities are to:

- decide on and record what the school needs to do to improve, based on areas of weakness identified.
- create a simple format that can be used to list all needs. There are some examples on page 61.
- share this with the community at a prioritisation meeting where the SBMC and other stakeholders agree a list of four or five key priorities for action over the period of a year.
- record the outcomes of this meeting with agreed priorities, and make sure that there is broad agreement with them. Communicate them as widely as possible in order to solicit widespread interest and support.
Knowledge and skills required:

Guidance on helping the Head Teacher turn ‘weaknesses’ into needs which can be addressed.

Guidance on conducting a participatory prioritisation exercise and recording its results.

All images
A conducive learning environment will encourage children to access and complete their education.

Recording the School’s needs

In order to improve pupil achievement the school needs more/greater/improved...

- Levels of pupil enrollment and attendance
- Quality of lessons (sometimes described as conducive classrooms/adequate teaching and learning materials/more effective teachers)
- Communications between school and community (sometimes described as shared management)
- Pupil welfare (sometimes described as a safe, welcoming and secure environment for boys and girls)

Left
The SBMCs can use this template to record suggested strategies for improvement under selected headings.
Step 4: Making plans

This step is made up of two parts

The first part: Where are we going?

The second part: How will we get there?

Below

Involving parents in school planning helps parents to understand and support education.

The first part: Where are we going?

Developing outline plans

Having agreed the priorities for the year, the next step is to develop an outline plan. This will identify some ways of addressing the needs. It is useful to keep checking that the proposed activities and the strategies relate clearly to the overall objective of improving pupil achievement. Thinking about ‘success criteria’ will help here. The criteria of success operate at two levels:

- those that tell us about progress we are making with the activities
- those that tell us how progress with these activities is contributing to the overall goal of improving pupil achievement (referred to as ‘impact’).

The SBMC’s (including the Head Teacher’s) responsibilities are to:

- discuss and agree strategies for each of the agreed priorities, together with a range of possible activities.
- check that these strategies and activities contribute to achieving the overall goal – to improve pupil achievement – and are realistic.
- record these decisions, using a simple format such as the one below, and share them with the main stakeholders (and possibly the wider community) to enlist support for the next stage of the planning process. Include some success criteria.
### Outline plan for tackling priorities

<table>
<thead>
<tr>
<th>Priority</th>
<th>Where are we going? Our agreed strategies</th>
<th>What is the impact on pupil achievement?</th>
<th>How will we get there? (activities)</th>
<th>How will we know when we have got there? (indicators)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Priority 1</td>
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<td>Priority 2</td>
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<td>Priority 4</td>
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<td>Priority 5</td>
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</tbody>
</table>

*Above*  
This table can be used by the SBMC for broad planning according to agreed priorities.

### Knowledge and skills required:

- Guidance on brainstorming and other ways of generating ideas.
- Guidance on developing simple targets and indicators.

### The second part: How will we get there?

#### Developing action plans

The next step is to look at the detail, making simple action plans for each activity. Each action plan should describe:

- what exactly will be done.
- the resources needed.
- any cost which is involved (and if so, the possible source of funds).
- when the activity will be carried out.
- who will be responsible for carrying it out.
- who will be responsible for monitoring its implementation and its effect.

To help with this, a simple format has been developed, as shown on the following page.
Throughout this stage, the group members must not only keep in mind how their plan will improve pupil learning, they must also keep their plans manageable and realistic in terms of cost. The SBMC needs to bear in mind that there are no sums of money directly linked to the production of an SDP. However, the fact that a school has a credible costed plan, based on ideas to improve pupil achievement, is likely to attract funding from external sources. This is a plan, not a grant application. However many, but not all, activities in the plan will require some element of funding. Guidance about sources of funds and using school funds is covered in Section 5, ‘Managing Money’.

The SBMC’s (including the Head Teacher’s) responsibilities are to:

- manage the work of developing the action plans. This is detailed work and ideally, the SBMC might think about including some extra teachers or pupils, or community members with specific skills or interests. This brings in extra skills and expertise and also broadens support for the plan.
- assemble these plans into one (where different groups have worked on them).
- make decisions if there is competition for scarce resources (most likely money).
- ensure that the plans are widely publicised and have support amongst the main stakeholders and the wider community.

### Detailed action plan (one per activity)

<table>
<thead>
<tr>
<th>Activity</th>
<th>Resources needed</th>
<th>Costs Possible source of funds</th>
<th>When this will be carried out?</th>
<th>Who will be responsible?</th>
<th>How will we know if the activity is successful?</th>
<th>Who will monitor progress?</th>
</tr>
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</table>

Above

This table provides a format for the SBMC to make a more detailed implementation plan against the costs of implementing it.
Step 5: Monitoring progress

How do we know we are getting there?
If the plan is to be a useful guide to the activities aimed at meeting priority needs, it makes no sense to ignore it once it is drawn up. The whole school community will be interested in what progress is being made – keeping people well informed will contribute to keeping up levels of involvement. The monitoring process will highlight progress made on the situation presented at the self-evaluation stage and against the targets agreed in the plan.

The SBMC’s (including the Head Teacher’s) responsibilities are to:
- choose suitable points during the school year to take stock of progress.
- review what progress has been made including checking that the cost estimations for the plan were reasonably accurate.
- review that financial records are being kept according to the recommendations in Section 5.
- keep the main stakeholders and the wider community informed about progress.
- keep records of monitoring activities and build up an evidence base.

What should the SBMC monitor?
The SBMCs will monitor progress towards the targets set at the planning stage to find out if progress is being made. If there is no progress it would be important to find out why, so that this information can be shared and relevant adjustments made.
**How will the SBMC do this?**
The SBMC will need to:

- talk to a wide range of people in and out of school, including children (as they are the ones doing the learning) and to their parents.
- refer to the self evaluation, the plan and records kept at different stages of the SDP cycle, and to records kept in the school.
- visit the school and observe facilities and activities.
- check information gathered from a few different sources to see if the information remains the same, rather than relying on just one source.

**Knowledge and skills needed:**

- ways of collecting information from different groups of people. Some methods are better than others, for example, when working with groups of children, or women, or participants who are not literate.
- documenting information collected in the monitoring process.
Step 6: Evaluating impact

How do we know we have arrived?

School development planning is a continuous cycle of planning and implementation, so once one year's plan has been implemented and the funds accounted for, planning can start for the next year.

The process begins again by briefly reviewing whether the goal of improving student achievement remains the same (it is likely to) and then moving to the school self-evaluation. It is to be hoped that there will be some significant changes when the school evaluates its performance, as a result of the year's efforts to improve!

This is also a good time to discuss with the various stakeholder groups how happy they have been with their involvement in the entire process. Whilst they will have contributed to regular community review meetings throughout the year, this is an opportunity to look at the whole process and the final outcomes and discuss these.

At the end of the year, the whole school community will want to know what happened and the extent to which this has matched the plan. There are two aspects that they will be interested in:

- what did we actually manage to do? This will include the activities that were actually carried out, together with the resources, including the financial resources, that were used.
- what difference did all this make to student achievement (what was the impact?).

Below

Evaluation highlights the impact of planning and implementation on student learning and achievement.

How do we know we have arrived?

Evaluation highlights the impact of planning and implementation on student learning and achievement.
The SBMC’s (including the Head Teacher’s) responsibilities are to:

- hold an internal meeting to review its performance over the year and to prepare to report to the main stakeholders.

They could consider:

- how well the planning process went and how successfully they had involved their stakeholders.
- how the planning stage could be improved.
- how well the implementation stage went in terms of completing the action plans in a timely way and on budget.

what changes in the school have resulted from the SDP process? Who benefitted and how?

what impact have these changes had on the improvement of student achievement?

They could also consider:

- checking complete expenditure reports to account for any funds they received.
- discussing with the stakeholders to find out how satisfied they were with their levels of involvement in the whole process.
- reporting to the main stakeholders and the wider community on how successfully were the action plans carried out, how money was spent and the impact of the plan on student achievement.

This is where the cycle begins again, with a reconfirmation of the overall purpose of SDP and the completion of the second school self-evaluation.
Section 5
Managing money

What are the possible sources of funding for the school?

How can school funds be used?

What are the principles and procedures of financial management?

How are financial records kept?

How should funds be retired?

School funds can come from a number of sources, some of which are listed below:

- Direct funding from the State government in the form of a grant or capitation allowance.
- SBMC members’ contributions.
- Community funding.
- Donations from individuals who wish to donate.
- Grants from corporate organisations and donors.
- Fund-raising events.
How can school funds be used?

School funds can be used for many activities. Generally, most of the funds will be allocated according to activities outlined in the school’s SDP which the school will have budgeted for when drawing up their plans.

Examples of such activities are listed below:

- minor repairs in school.
- provision of teaching/learning materials.
- procurement of first aid kits for schools.
- supply of clean drinkable water to the school.
- repair of broken school furniture.
- purchase of sporting facilities.
- supporting educational excursions.
- provision of additional security for the school.
- supporting the ability of children with special needs to come to school and learn. (For example, children affected by poverty, disability, ill health, family breakdown, early marriage and so on).
- training for SBMC members in any other activities deemed important by the SBMC and the community.
- purchase of flowers/trees to improve the school environment.

Managing school finances

Whatever the source of funding, money coming in to the school will have to be managed. The management of such funds is the responsibility of both the Head Teacher and the SBMC.

Right
It is important to ensure that money is spent according to agreed SBMC plans.

Right
It is important to ensure the correct amount is paid for items purchased.
Principles of financial management

School funds do not belong to any one individual; they belong to the school and great care needs to be taken in order to ensure that they are kept securely, spent wisely and recorded properly.

Below are key guiding principles of financial management which all schools need to follow.

Stewardship

Authority to handle funds brings with it special responsibilities. The Head Teacher and SBMC members looking after school funds should make a distinction between their personal finances and school funds and should never mix the two.

Efficiency and value for money

There is a responsibility to make the best use of the funds that are available.

Accountability

There should be clarity on who has financial authority, what limits are set and who does what. Financial management can be seen as having a number of stages: planning the use of funds; accessing bank accounts; holding the cash; spending the money; recording the transactions; and reporting the accounts. The individuals responsible for each of these stages should be identified and this information should be shared with the community. The responsible individuals should follow basic accounting rules, maintain clear, unambiguous records and ensure that their actions are open to outside scrutiny.

Transparency

Information should be openly available to the wider community about the school budget and plans for expenditure. Accurate accounts should be kept and publicised.

Spreading authority for money

Wherever possible, more than one person should be involved in any transaction.

Timeliness and accuracy of financial reporting

Recording of transactions should be done as they occur, in order to avoid mix-ups and inaccuracies.

Below

School financial information should be available to the wider community.

Left

Transactions should be recorded by SBMC members at the time they occur.
Management procedures

Finance committee

Not all SBMC members can be involved in the day-to-day management of school finances. As a result, the SBMC should set up a small sub-committee who in conjunction with the Head Teacher will provide oversight of the school finances.

The tasks of the financial sub-committee will be determined by the SBMC, but should include the following:

- **Rules on financial transactions**
  Wherever possible more than one person should be involved in any financial transaction. This should include any activity where money is received or paid out.

- **The appointment of a Treasurer from within the sub-committee**
  One person from the sub-committee should be appointed Treasurer. This person will look after the everyday financial matters together with the Head Teacher.

- **Signatories to the school bank account**
  The SBMC should agree to designate two members of the sub-committee who, in addition to the school head, will be signatories to the school bank account. In all cases, payments and cheques would need to be signed by two out of the three designated signatories.

Above
Transparency in management of school finances also contributes to quality education for children.
How are financial records kept?

It is critical that the SBMC, and in particular the financial sub-committee, make sure that accurate up-to-date financial records are kept. LGEAs will monitor and be responsible for auditing school accounts and SBMCs will be liable for any discrepancies in the accounts.

Each school head and Treasurer is responsible for keeping a bank book, in which all payments and withdrawals from the bank account will be recorded.

In addition, each school should maintain a single cash book, which is used to record all money coming into the school and all money going out and the dates on which these transactions take place – see table opposite.

Recording income and expenditure in a cash book is a simple operation and one that is even simpler if the record in the cash book is made on the day that the transactions occur.

Delays in making entries in the cash book can lead to confusion and mistakes. As a result, it may be difficult for the SBMC to retie the funds at the end of the year and report back to the wider community.

At the end of each month, the entries in the cash book should be ruled off and totals of income and expenditure in each column added up.

The balance of income over expenditure is the amount that the school still has to spend. This amount must be the same as the balance in the school bank account and must tally with the monthly bank statement.

---

**Single cash book template**

<table>
<thead>
<tr>
<th>Date</th>
<th>Funds in</th>
<th>Funds out</th>
<th>Balance</th>
<th>Description</th>
<th>Development area</th>
</tr>
</thead>
<tbody>
<tr>
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</tbody>
</table>

*Above*  
This template will assist the SBMC in keeping records of financial transactions and provide evidence of transactions made.
The school bank account

Each school should have a bank account, which is in the name of the school and not in the name of any one individual, for example the Head Teacher. The bank account should be a current account which allows easy access to funds and provides monthly statements. It is important that the account is operated by dual signatories in order to ensure transparency.

Spending school funds

As mentioned earlier, spending will normally take place against activities outlined in the SDP or against other activities jointly determined by the school head and the SBMC. Money should only be withdrawn from the school bank account when purchases need to be made and approved. The amount withdrawn should always be recorded and where possible a purchase receipt should be obtained.

If the seller cannot give a receipt, it is essential that the transaction is recorded and witnessed. Failure to record purchases will result in mistakes in the financial records and leave the SBMC open to being accused of misuse of funds. It is very important that receipts are kept for all transactions as they will be needed to reconcile spending against the account when funds are retired at the end of the year.

How are funds retired?

At the end of each school year school funds should be retired. This is the responsibility of the SBMC finance sub-committee. An end-of-year Statement of Expenditure should be produced which summarises the school’s spending against different activities. The report should be signed off by the school head and the chair of the SBMC. By signing the Statement of Expenditure, the signatories are testifying that the accounts are correct. The financial report should then be submitted to the LGEA and a meeting should be arranged so that the SBMC can present the report to parents and the wider community.

In some cases, the LGEA will arrange for an audit using LGEA staff or a firm of external auditors. This review is to act as a check that money has been spent as planned and to safeguard against the misuse of funds. The report from the auditors will be public and available to the SBMC and the school community.
Section 6
General monitoring

What should the SBMC monitor?

- The points below highlight some of the areas where the SBMCs may be involved in monitoring and why these areas are important.

Which children are not enrolled in school, and why

All children in the community should be enrolled in school – girls, boys, children with disabilities and children from poor families. In finding out why some children are still not attending school, the SBMC can work with other partners and develop strategies to include these children in school.

Which children are dropping out of school early, and why

Many children, particularly girls, drop out of school before the end of primary or at transition to secondary education. The SBMC can monitor this and work on strategies with parents and community to support children to finish their education.

The quality of the school infrastructure and environment

The school environment is a factor which contributes to quality education. The SBMC can monitor the condition, cleanliness and security of the school and take action to improve it.

School resources

The SBMC will need to monitor both material and financial resources which come into the school including, for example, different kinds of funds, textbooks and learning materials.

How can progress be measured?

- The SBMC has an important role to play in monitoring the progress of the School Development Plan (Section 4), but its monitoring role extends beyond this.

It answers the following questions:

- What should the SBMC monitor?
- How can progress be measured?
- What kinds of monitoring checklists can be used?
- How can children be involved in the monitoring process?
- How can the wider community be involved in the monitoring process?
Measuring progress

In order to know if progress is being made, the SBMC will need to record the situation of all of these issues, at the start of their activities. They will need to speak with the Head Teacher to collect information such as:

- which children are already enrolled and which attend regularly.
- which children have dropped out.
- how many days of absence each teacher has per month.
- what the physical condition of the school is.
- how pupil welfare is perceived by children, parents, teachers and others.

### Sample monitoring checklist:

<table>
<thead>
<tr>
<th>Date</th>
<th>Good features</th>
<th>Cleanliness</th>
<th>Safety and access</th>
<th>Learning environment</th>
<th>Action taken</th>
</tr>
</thead>
<tbody>
<tr>
<td>22/03/10</td>
<td>All children now have chairs to sit on and desks to write at</td>
<td>The toilets are not well maintained and are a risk to health</td>
<td>Girls do not yet have their own toilet to use</td>
<td>Children with physical difficulties cannot get into the classrooms</td>
<td>Discussed with Head Teacher, SBMC executive and SBMC desk officer</td>
</tr>
</tbody>
</table>

Strategies agreed to address problems:

22/03/10

---

**Teaching and learning**

Teachers have a responsibility to be punctual and to remain in school all day. Their absence will have an adverse effect on pupils’ progress. SBMCs can assist in monitoring teachers’ attendance.

**Pupil welfare issues**

Children need safe, positive and encouraging learning environments in order to learn to the best of their abilities. SBMCs can contribute to providing this environment. They can find out why children stop attending school by talking to them and their parents or guardians and they can encourage attendance by helping to make the school more interesting and less threatening.

---

**Above**

This template can be used by the SBMC to monitor progress on improving the physical environment of the school. It can be adapted for monitoring different things.
Making improvements

Once monitoring information has been collected, the SBMC will need to meet with the Head Teacher, the local school support officer, parents and the wider community to agree which improvements are realistic. They will also need to agree on action to be taken if targets for improvements are not met?

If some teachers have been absent a lot for example, it may take some time for this situation to improve.

Targets set to improve this situation would need to be realistic and achievable in the time frame. Teachers may need some encouragement to reach agreed targets – they are often motivated by praise and recognition as well as by rules and supervision.

Involving children and the wider community in the monitoring process

Children know a lot about their schools and about what is really happening inside them. Children have many ideas about what makes a good school and it makes sense to ask them about their education and involve them in monitoring.

Children usually know for example:
- how well they are learning and what affects their ability to learn.
- the kinds of problems other children face in school and in accessing school.
- how punctual and regular teachers are in their attendance and how they relate to pupils.
- what would make school better for all children.

If children are to be involved in the monitoring process, the SBMC will need to ensure that they are not placed in situations which may cause them harm at the time or at a later stage. It will be important to sensitise adults in the community and schools on children’s participation and its benefits.

The wider community should also be involved in the monitoring process. The SBMC represents the views of the wider community and links the community with the school, so it is important to ask community members what their view of progress is. As mentioned in Section 3, the wider community will participate in community review meetings.

The community can also do some monitoring. Community monitors can be identified and given the role of monitoring specific areas. If well planned, implemented and supported by the SBMC, this can generate useful and very relevant monitoring information. Community monitors could, for example, help monitor children who are out of school, or who drop out of school early. Information collected could be presented and discussed at the community review meetings described in Section 3.
Annex
Roles and responsibilities of SBMCs

The PIC will be expected to:

- Meet regularly to discuss issues relating to the progress of the schools.
- Support the Principal/Head Teacher to provide effective and innovative leadership for their schools.
- Partake in school development planning and monitor the implementation of school development projects.
- Work with the school authority to set up sub-committees to handle school improvement projects (i.e., self-help).

The central SBMC of the cluster of schools will be expected to:

- Represent the interest of all schools in the cluster.
- Meet regularly to consider issues affecting schools in the cluster.
- Liaise with different levels of government on behalf of their schools.
- Plan for the overall development of the schools in the cluster.
- Source for resources for school development particularly from outside government.
- Monitor the utilisation of funds at the school and PIC levels.

Hold schools, PICs and government accountable for pupils/students learning outcomes.

Play advocacy roles on behalf of schools and PICs.

Play advisory roles to the schools.

Monitor students/pupils performance.

Identify the challenges and needs of their schools and present these for discussions at the central SBMC.

Monitor students/pupils performance.

Identify the challenges and needs of their schools and present these for discussions at the central SBMC.

Liaise with different levels of government on behalf of their schools.

Meet regularly to consider issues affecting schools in the cluster.

Plan for the overall development of the schools in the cluster.

Source for resources for school development particularly from outside government.

Monitor the utilisation of funds at the school and PIC levels.